

Small Business Loan Fund Corporation 315 Iron Horse Way, Suite 101 Providence, RI 02908 401-278-9100

# Personal Financial Statement \*\*\*\*\*CONFIDENTIAL\*\*\*\*\*

Information as of:									
Personal Information									
Name (applicant)			Name (co-applicant)						
Home Address			Home Address						
Social Security Number	ecurity Number Date of Birth Home Phone Number		Social Security Number	Date of Birth	Home Phone Number				
Employer			Employer						
Position		No. Years							
Employer's Address			Employer's Address						
Partner, officer or owner in If so, explain:	n any other venture	? □ No □ Yes	Partner, officer or owner in any other venture? ☐ No ☐ Yes If so, explain:						
Are any assets pledged?	□ No □ Yes De	tail in Schedule A	Are any assets pledged? □ No □ Yes Detail in Schedule A						
Income taxes settled thro	ugh (Date)	·	Income taxes settled through (Date)						

Annual Income	Annual Expenditures			
Salary (applicant)	\$ Federal Income & Other Taxes	\$		
Salary (co-applicant)	State Income & Other Taxes			
Bonuses & Commissions (applicant)	Rental Payments, Co-op or Condo Fees			
Bonuses & Commissions (co-applicant)	Mortgage Payments - Residence			
Rental Income	Mortgage Payments - Investment			
Interest Income	Loan Payments (Principal & Interest)			
Dividend Income	Investments (including tax shelters)			
Capital Gains	Alimony/Child Support			
Business Income	Tuition			
Other Income (list)	Other			
Total Income	\$ Total Expenditures	\$		

Assets	In Even Dollars	Liabilities and Net Worth	In Even Dollars
Cash on hand and in Banks—See Schedule A	\$	Notes Payable: This Bank—See Schedule A	\$
U.S. Government Securities—See Schedule B		Notes Payable: Other Institutions—See	
Listed Securities—See Schedule B		Schedule A	
Unlisted Securities—See Schedule B		Notes Payable—Relatives	
Other Equity Interests—See Schedule B		Notes Payable—Others	
Accounts and Notes Receivable		Accounts and Bills Due	
Real Estate Owned—See Schedule C		Unpaid Taxes	
Mortgages and Land Contracts Receivable—		Real Estate Mortgages Payable—See	
See Schedule D		Schedule C or D	
Cash Value Life Insurance—See Schedule E		Land Contracts Payable—See Schedule C or D	
Other Assets: Itemize		Life Insurance Loans—See Schedule E	
		Other Liabilities: Itemize	
		TOTAL LIABILITIES	\$
		NET WORTH	\$
TOTAL ASSETS	\$	TOTAL LIABILITIES AND NET WORTH	\$

## Schedule A: Banks, Brokers, Savings & Loan Association, Finance Companies or Credit Unions. List here the names of all the institutions at which you maintain a deposit account and/or where you have obtained loans.

Name of Institution	Name on Account	Balance on Deposit	High Credit	Amount Owing	Monthly Payment	Secured by What Assets
	TOTAL		TOTAL			

### Schedule B: U.S. Governments, Stocks (Listed & Unlisted), Bonds (Gov't & Comm.), and Partnership Interests (General & Ltd.)

Number of	Indicate:			Ple	dged
Shares,	1. Agency or name of company issuing security or name	In Name of	*Market Value	Υ	No
Face Value	of partnership			es	(≣)
(Bonds), or	2. Type of investment or equity classification			(■	
% of	3. Number of shares, bonds or % of ownership held			)	
Ownership	4. Basis of valuation*				
	•	TOTAL			

<sup>\*</sup>If unlisted security or partnership interest, provide current financial statements to support basis for valuation.

#### Schedule C: Real Estate Owned (and related debt, if applicable)

Description of	Title in	Date	Cost +	Present	Mortgag	e or Land Co	ontract Payable
Property or Address	Name Of	Acquired.	Improvements	Mkt. Value	Bal. Owing	Mo. Payt.	Holder
	•	TOTAL					

#### Schedule D: Real Estate: Mortgages & Land Contracts Receivable (and related debt, if applicable)

Description of	Title in	Date	Balance	Monthly	Mortgag	ge or Land Co	ontract Payable
Property or Address	Name Of	Acquired.	Receivable	Payment	Bal. Owing	Mo. Payt.	Holder
		TOTAL					

#### Schedule E: Life Insurance Carried

Name of Company	Face Amount	Cash Surrender Value	Loans	Beneficiary
TOTAL				

	In Even Dollars
Contingent Liabilities	
As endorser, co-maker or guarantor	\$
On leases	
Legal claims	
Provision for federal income taxes	
Other special debt, e.g., recourse, letter of credit or repurchase liability	
Any Past Due Taxes	
TOTAL	\$

Concret Information								
General Information  Are you a defendant in any suits or legal action? □ No □ Yes								
If so, explain:								
Have you, or any company or organization in which you had a controlling interest, ever filed bankruptcy? ☐ No ☐ Yes								
If so, explain:								
Do you have a will? ☐ No ☐ Yes With whom?								
Do you have a trust? ☐ No ☐ Yes With whom?								
Number of dependents Ages								
Representations and Warrantees  The information contained in this statement is provided to induce Small Business Loan Fund Corporation (SBLFC) to extend or to continue the extension of credit to the undersigned or to others upon the guarantee of the undersigned. The undersigned acknowledge and understand that SBLFC is relying on the information provided herein in deciding to grant or continue credit or to accept a guarantee thereof. Each of the undersigned represents, warrants and certifies that the information provided herein is true, correct and complete. Each of the undersigned agrees to notify SBLFC immediately and in writing of any changes in amme, address, or employment and any material adverse change (1) in any of the information contained in this statement or (2) the financial condition of any of the undersigned or (3) in the ability of any of the undersigned to perform its (or their) obligations to SBLFC. In the absence of such notice or a new and full written statement, this should be considered a continuing statement and substantially correct. If the undersigned fail to notify SBLFC as required above, or if any of the information contained herein should prove to be inaccurate or incomplete in any material respect, SBLFC may declare the indebtedness of the undersigned or the indebtedness guaranteed by the undersigned, as the case may be, immediately due and payable. SBLFC is authorized to make all inquiries you deem necessary to verify the accuracy of the information contained herein and to determine the credit-worthiness of the undersigned. The undersigned authorize any person or consumer reporting agency to give SBLFC or its representative any information. The undersigned. As long as any obligation or guarantee of the undersigned to SBLFC is outstanding, the undersigned shall supply annually an updated financial statement. This personal financial statement and any other financial or other information that the undersigned provide to SBLFC any information that it may have or obtain								
I/we also hereby certify that no payment requirements liste "NONE" so state.	d herein are delinquent or in default except as follows; if							
I/we fully understand that it is a federal crime punishable by statements concerning any of the above facts, pursuant to 19								
Applicant's Signature	Date Signed							
Co-Applicant's Signature	Date Signed							